

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,412	08/24/2001	Jerry L. Aikins	ZIM0090

000832  
 BAKER & DANIELS  
 111 E. WAYNE STREET  
 SUITE 800  
 FORT WAYNE, IN 46802

CONFIRMATION NO. 4304  
**FORMALITIES LETTER**



\*OC000000006819578\*

Date Mailed: 10/01/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2002 SDENB001 00000069 09939412

01 FC:105

\$30.00 0P

H H  
Rec'd [Signature]

Notice To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.  
ZIM0090

In Re Application Of: Jerry L. Aikins, et al.

MAR 04 2002

#3

Serial No.  
09/939,412

Filing Date  
August 24, 2001

Examiner

Group Art Unit  
3739

Invention: BLADE PLATE AND INSTRUMENTS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

October 1, 2001

Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

Check No. 102931

01/07/2002 0321231 0000060 09939412

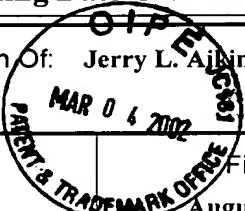
02/03/2002

520.00 02

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**ZIM0090**

In Re Application Of: Jerry L. Aikins, et al.



Serial No. 09/939,412 Filing Date August 24, 2001

## **Examiner**

Group Art Unit  
3739

**Invention: BLADE PLATE AND INSTRUMENTS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

### Box Missing Parts

- Completion of application fees as calculated below:

- Utility application filing fee \_\_\_\_\_
  - Design application filing fee \_\_\_\_\_
  - Total number of independent claims = \_\_\_\_\_
  - Total number of claims = \_\_\_\_\_
  - Multiple dependent claims \_\_\_\_\_
  - Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00
  - Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_
  - Fee for processing an application filed with a non-English language specification \_\_\_\_\_
  - Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$130.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- One month       Two months       Three months       Four months       Five months

from: December 1, 2001  
*Date*

until: March 1, 2002  
*Date*

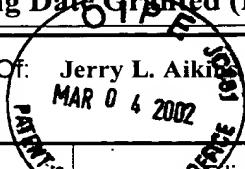
**Total time extension fees** \$920.00

**Total fees due** **\$1,050.00**

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.  
ZIM0090

In Re Application Of: Jerry L. Aikins et al.



Serial No. 09/939,412 Filing Date August 24, 2001

Examiner

Group Art Unit  
3739

Invention: BLADE PLATE AND INSTRUMENTS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,050.00 is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 02-0385  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 02-0385  
A duplicate copy of this sheet is enclosed.

Signature

Dated: Feb. 21, 2002

Adam F. Cox, Regis. No. 46,644  
BAKER & DANIELS  
111 East Wayne Street, Suite 800  
Fort Wayne, IN 46802

TX: (260) 424-8000  
FAX: (260) 460-1700

I certify that this document and fee is being deposited on Feb. 21, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature

Signature of Person Mailing Correspondence

CC:

Adam F. Cox

Typed or Printed Name of Person Mailing Correspondence



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$1,050.00

## Complete if Known

Application Number	09/939,412
Filing Date	August 24, 2001
First Named Inventor	Jerry L. Aikins et al.
Examiner Name	
Group Art Unit	3739
Attorney Docket No.	ZIM0090

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number **02-0385**

Deposit Account Name **BAKER & DANIELS**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee			
106 330	206 165	Design filing fee			
107 510	207 255	Plant filing fee			
108 740	208 370	Reissue filing fee			
114 160	214 80	Provisional filing fee			
SUBTOTAL (1)					

## 2. EXTRA CLAIM FEES FOR UTILITY AND

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	0	X = <b>0.00</b>
Independent Claims	- 3** =	0	X = <b>0.00</b>
Multiple Dependent			= <b>0.00</b>

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20		
102 84	202 42	Independent claims in excess of 3		
104 280	204 140	Multiple dependent claim, if not paid		
109 84	209 42	** Reissue independent claims over original patent		
110 18	210 9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)				<b>\$0.00</b>

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath			<b>130.00</b>
127 50	227 25	Surcharge - late provisional filing fee or cover sheet			
139 130	139 130	Non - English specification			
147 2,520	147 2,520	For filing a request for ex parte reexamination			
112 920*	112 920*	Requesting publication of SIR prior to Examiner action			
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action			
115 110	215 55	Extension for reply within first month			
116 400	216 200	Extension for reply within second month			
117 920	217 460	Extension for reply within third month			<b>920.00</b>
118 1,440	218 720	Extension for reply within fourth month			
128 1,960	228 980	Extension for reply within fifth month			
119 320	219 160	Notice of Appeal			
120 320	220 160	Filing a brief in support of an appeal			
121 280	221 140	Request for oral hearing			
138 1,510	138 1,510	Petition to institute a public use proceeding			
140 110	240 55	Petition to revive - unavoidable			
141 1,280	241 640	Petition to revive - unintentional			
142 1,280	242 640	Utility issue fee (or reissue)			
143 460	243 230	Design issue fee			
144 620	244 310	Plant issue fee			
122 130	122 130	Petitions to the Commissioner			
123 50	123 50	Processing fee under 37 CFR § 1.17(q)			
126 180	126 180	Submission of Information Disclosure Statement			
581 40	581 40	Recording each patent assignment per property (times number of properties)			
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))			
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))			
179 740	279 370	Request for Continued Examination (RCE)			
169 900	169 900	Request for expedited examination of a design application			
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$1,050.00

## SUBMITTED BY

Name (Print/Type)	Adam F. Cox	Registration No. (Attorney/Agent)	46,644	Telephone	260-424-8000
Signature				Date	February 21, 2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.